

ARMY RESEARCH LABORATORY



# Spectral Analysis of Pulse-Modulated rf Signals

William O. Coburn

ARL-TN-152

September 1999

19991018 157

Approved for public release; distribution unlimited.

The findings in this report are not to be construed as an official Department of the Army position unless so designated by other authorized documents.

Citation of manufacturer's or trade names does not constitute an official endorsement or approval of the use thereof.

Destroy this report when it is no longer needed. Do not return it to the originator.

# Army Research Laboratory

Adelphi, MD 20783-1197

---

ARL-TN-152

September 1999

# Spectral Analysis of Pulse-Modulated rf Signals

William O. Coburn

Sensors and Electron Devices Directorate

---

---

## Abstract

---

The parameters that characterize a rectangular-shaped pulse-modulated sinusoidal signal are the carrier frequency, the pulselwidth, the repetition frequency, and the number of pulses in or the duration of the signal. We use a Fourier series representation to show the influence of these parameters on the spectrum of a pulse-modulated signal at a microwave carrier frequency. When an additional amplitude modulation is applied at audio frequencies, the resulting transient cannot be efficiently analyzed with numerical transform techniques. We present approximate numerical and analytical techniques to obtain the frequency spectrum of such signals. This approach allows the near-real-time spectral analysis of modulated signals. Thus, the resulting spectrum can be easily calculated for idealized modulation waveforms. A typical example is presented and the effect of pulse modulation on the spectral content of an rf signal burst is discussed.

## Contents

<b>1. Introduction .....</b>	<b>1</b>
<b>2. Pulse-Modulated rf Signal .....</b>	<b>3</b>
2.1 <i>Numerical Results</i> .....	3
2.2 <i>Calculated Results</i> .....	6
<b>3. Additional Modulation .....</b>	<b>8</b>
<b>4. Modulated rf Bursts .....</b>	<b>11</b>
<b>5. Discussion .....</b>	<b>15</b>
<b>Distribution .....</b>	<b>17</b>
<b>Report Documentation Page .....</b>	<b>19</b>

## Figures

1. Single period of a rectangular pulse modulation waveform and single rectangular pulse FFT with 4 percent duty factor .....	4
2. Single pulse-modulated 1.3-GHz carrier FFT with 2 percent rf duty factor .....	6
3. Calculated spectrum of a pulsed rf signal at 1.3 GHz .....	7
4. Single period of a modulation waveform with 20 pulses, frequency-shifted FFT of fundamental modulation, FFT of fundamental modulation showing spectral line characteristics, and FFT of fundamental modulation for $T_1 = 0.25$ ms .....	9
5. Single-pulse FFT in 2-ms time window scaled for 10 pulses .....	10
6. Calculated fundamental spectrum scaled by duty factor .....	10
7. Modulation waveform for 50-pulse rf burst, scaled FFT result shifted to modulated carrier, FFT result over 80-kHz span for comparison to 4(c), and FFT result over 20-kHz span to show spectral line characteristics .....	12
8. FFT result (times one-half) for two periods of modulation waveform and FFT result for truncation time window .....	13
9. Energy spectrum by convolution of FFT results .....	13
10. Calculated energy spectrum for 10-ms rf burst signal .....	14

## Table

1. Spectral characteristics of an rf burst of duration $t_{\max}$ and 1-W peak transmitted power .....	16
--	----

# 1. Introduction

A periodic time function  $f(t)$  with a period  $T_0$  can be represented as an infinite sum of exponential functions. In particular, with an angular frequency  $\omega_0 = 2\pi/T_0 = 2\pi$  (frequency)  $v_0$ ,

$$f(t) = \sum_{n=-\infty}^{n=\infty} \alpha_n e^{jn\omega_0 t} , \quad (1)$$

is an exponential Fourier series expansion with coefficients

$$\alpha_n = \frac{1}{T_0} \int_{-T_0/2}^{T_0/2} f(t) e^{-jn\omega_0 t} dt . \quad (2)$$

The Fourier series is a valid representation when the Dirichlet conditions are satisfied, which requires  $f(t)$  to be a finite periodic time function.<sup>1</sup> The time function must be finite in the sense that it has a finite number of maxima, minima, and discontinuities in every finite interval.

For now,  $f(t)$  is considered an infinite-duration pulse train,

$$f(t) = \sum_{n=-\infty}^{n=\infty} f_0(t + nT_0) , \text{ where } f_0(t) = \begin{cases} f(t), & |t| \leq T_0/2 \\ 0, & \text{otherwise} \end{cases} . \quad (3)$$

Then the Fourier integral of  $f_0(t)$ ,

$$F_0(\omega) = \int_{-\infty}^{\infty} f_0(t) e^{-j\omega t} dt = \int_{-T_0/2}^{T_0/2} f(t) e^{-j\omega t} dt , \quad (4)$$

is the continuous spectrum of one period of the signal  $f(t)$ . A periodic rectangular pulse has an approximately band-limited spectrum, or  $F_0(\omega) \ll F_0(0)$  for  $|\omega| < \omega_{\max}$ , where we take  $\omega_{\max} = 20\pi/T_0$ . The Fourier transform representation of the signal spectrum  $F(\omega)$  is a sequence of impulses

$$F(\omega) = \frac{2\pi}{T_0} \sum_{n=-\infty}^{n=\infty} F_0(n\omega_0) \delta(\omega - n\omega_0) , \quad (5)$$

defined by the spectral envelope,  $F_0(\omega)$ . Then the Fourier series coefficients are  $F_0(\omega)/T_0$ , evaluated at  $\omega_n = n\omega_0 = 2\pi n/T_0$ , or<sup>2</sup>

$$\alpha_n = \frac{1}{T_0} F_0(\omega) \Big|_{n\omega_0} . \quad (6)$$

The band-limited representation includes the periodic extensions of the fundamental spectrum  $F_0(\omega)$  for all frequencies, which implies that  $f(t)$  is infinite in duration. I relied on the band-limited approximation throughout to develop analytical and numerical PC tools to obtain the spectrum for this class of signals. I used MATLAB<sup>®</sup> to calculate the band-limited spectrum of periodic signals that modulate a single rf carrier at angular frequency  $\omega_c = 2\pi f_c$ . The physical rf signal is a burst of pulses and the

<sup>1</sup>A. Papoulis, *The Fourier Integral and Its Applications*, New York, NY: McGraw-Hill (1987).

<sup>2</sup>A. V. Oppenheim, A. S. Willsky, and S. H. Nawab, *Signals and Systems*, 2<sup>nd</sup> ed, Upper Saddle River, NJ: Prentice Hall (1997).

sum in equation (3) would be truncated to  $2N + 1$  pulses. The pulse train is truncated with a rectangular pulse window function in the time domain (time-windowing) that corresponds to a frequency-domain convolution.<sup>1</sup>

Consider a repetitive rectangular pulse-modulated rf carrier, where the modulating pulse is assumed to be ideal and has negligible rise- and fall-times compared to the width  $T$ . An additional amplitude modulation (AM) with the periodic function  $g(t)$  that has a period  $T_2 \sim kT_0$  for integer  $k$  is often applied to the pulse-modulated rf signal. The fundamental spectrum can be obtained from sampled transient data  $q_0(t_n) = f_0(t_n)g_0(t_n)$  over one period using numerical transform techniques such as the fast Fourier transform (FFT). For low-frequency modulations (i.e.,  $k \gg 1$ ), this approach quickly becomes numerically intensive if the high-frequency carrier is included in the sampled transient. When all modulations have a low-frequency spectrum compared to the rf carrier, we need only sample  $q_0(t)$  sufficiently to resolve the highest frequency component of the modulation waveform. In this case, the MATLAB FFT routines can be used to efficiently obtain the spectrum for the modulation waveform, which is then shifted to the single carrier frequency. I show that the rf modulation determines the spectral bandwidth (BW) of the modulated signal with impulses at the rf pulse repetition frequency (PRF). Additional modulations reduce the peak amplitude according to the AM duty factor and introduce impulses at the AM PRF. When only the spectral envelope is of interest,  $Q_0(\omega)$  can be directly calculated in the frequency domain (for idealized modulation waveforms) to approximate the modulated rf spectrum. Numerical and analytical approaches are compared and used to investigate the effect of rectangular pulse modulation on the spectral content of a finite-duration rf burst.

---

<sup>1</sup>A. Papoulis, *The Fourier Integral and Its Applications*.

## 2. Pulse-Modulated rf Signal

A rectangular pulse  $p_X(t)$  symmetric about the time reference ( $t = 0$ ) with unit amplitude and half pulselength  $X = T/2$  has the frequency spectrum

$$P_X(\omega) = \frac{2 \sin\left(\frac{\omega T}{2}\right)}{\omega}, \quad (7)$$

with peak magnitude  $2X = T$  and full bandwidth (FBW)  $1/X = 2/T$ . We use this ideal pulse to modulate a microwave carrier where  $f_0(t) = p_X(t)c(t) = p_X(t)\cos(\omega_c t)$  is a repetitive pulse-modulated sinusoidal signal. The carrier spectrum is a single frequency, as represented by  $C(\omega) = 1/2\{\delta(\omega + \omega_c) + \delta(\omega - \omega_c)\}$ .

Then  $f(t)$  is a real, even function of time with Fourier coefficients

$$\alpha_n = \frac{2}{T_0} \int_0^{T_0/2} f(t) \cos(n\omega_0 t) dt. \quad (8)$$

The partial sum  $f_N(t) \sim f(t)$  is

$$f_N(t) = \alpha_0 + 2 \sum_{n=1}^N \alpha_n \cos(2\pi n t / T), \quad (9)$$

which can be written as an average over one period of  $f(t)$  with the Fourier kernel  $k_N(t)$  as a weighting function.<sup>1</sup> That is,  $f_N(t)$  can be made to approximate  $f(t)$  to an arbitrary accuracy in the interval  $|t| < T_0/2$  by choosing more sinusoids in the expansion. The Fourier series coefficients for  $f(t)$  are  $\alpha_n = F_0(n\omega_0)/T_0$ , where

$$F_0(\omega) = \frac{1}{2} \left\{ P_X(\omega - \omega_c) + P_X(\omega + \omega_c) \right\}. \quad (10)$$

Compared to equation (9), the positive frequency component of this fundamental spectrum has the same FBW but half the magnitude. Although this band-limited spectrum implies an infinite-duration signal, there is no ambiguity in considering a finite number of samples. However, by truncating equation (1) to a finite number of terms, we also truncate the Fourier series representation of the signal spectrum in equation (5). This reduces the resolution in the frequency domain so that care must be taken to adequately sample the modulation waveforms.

### 2.1 Numerical Results

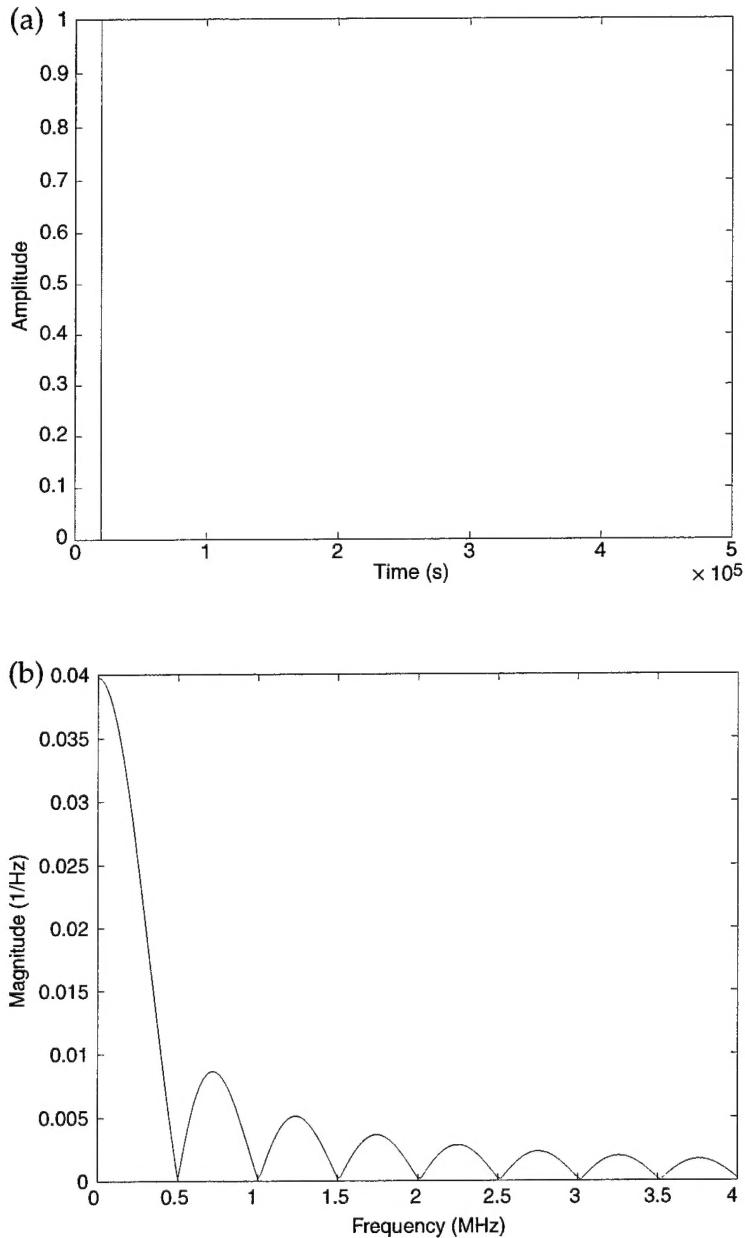
We consider a repetitive rectangular pulse modulation waveform  $p_X(t)$  with constant pulselength ( $T$ ) and PRF. The signal is periodic with period  $T_0 = 1/\text{PRF}$  and rf duty factor  $D_{\text{rf}} = T/T_0$  in each period of the modulation. Since the periodic extensions of the fundamental spectrum are part of the FFT, the FFT result corresponds to an infinite rectangular pulse train. For example, let the carrier frequency be 1.3 GHz with  $T = 2 \mu\text{s}$  and  $T_0 = 50 \mu\text{s}$ , assuming a unit amplitude (typically peak transmitted power)

<sup>1</sup>A. Papoulis, *The Fourier Integral and Its Applications*.

signal. A single period of the modulation pulse is shown in figure 1(a), where 201 time samples per pulse ( $dt = T/201$ ) were used to define one period of the transient  $p_X(t)$ . The FFT of this modulation waveform  $P_X(\omega)$  is the continuous spectrum shown in figure 1(b). Notice that the spectrum peak magnitude is the signal time-average (or dc-component) in the transient time window  $D_{rf} = 4$  percent. An rf carrier modulated by this waveform  $f(t)$  is represented by samples at fixed intervals  $dt$  and the FFT used to calculate  $F(\omega)$ . A sample size of  $dt = (20f_c)^{-1}$  is recommended (i.e., 20 samples per carrier period), and the sampling error increases rapidly as  $dt$  is increased.

One period of the modulated rf signal  $f_0(t)$  that includes the rf carrier is discretized for numerical analysis. The FFT result for the fundamental

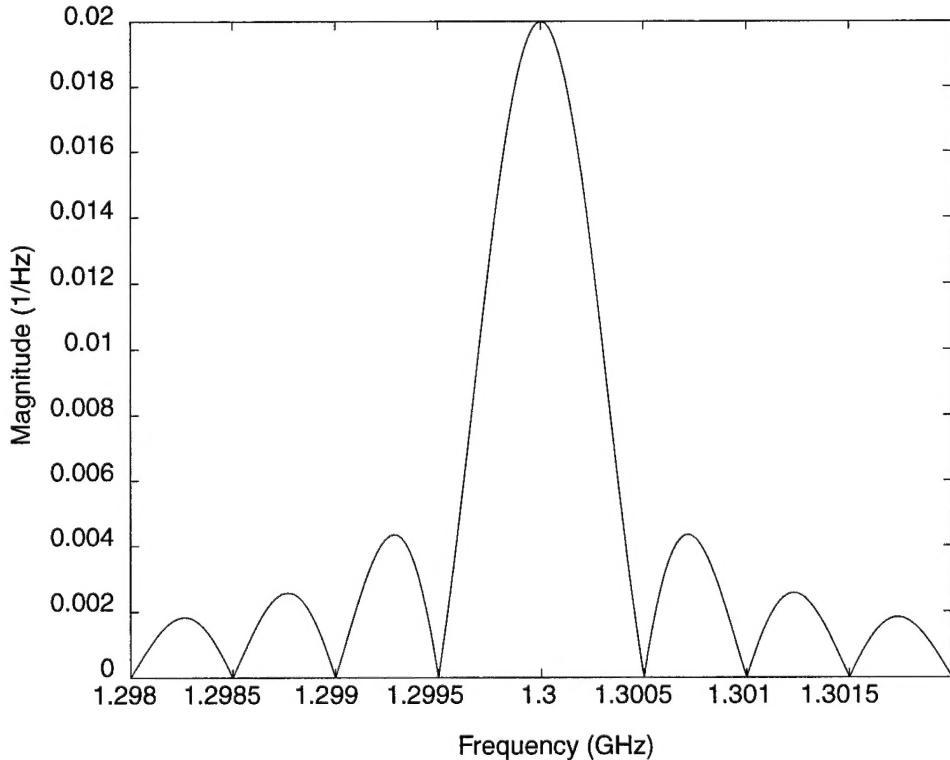
**Figure 1. (a) Single period of a rectangular pulse modulation waveform and (b) single rectangular pulse FFT with 4 percent duty factor.**



spectrum  $F_0(\omega)$  corresponds to the spectral envelope of the infinite-duration signal  $f(t)$ . The spectrum is shown in figure 2 for positive frequencies using 20 time samples per period of the 1.3-GHz carrier. The negative frequency components in equation (10) cannot be ignored as reflected in the FFT result. For a modulated sinusoid, the power spectrum peak magnitude is one-half the peak power time-average in one period of the modulation waveform or one-half the rf duty factor,  $\frac{1}{2}D_{rf} = 2$  percent. For the fundamental modulation waveform (i.e., one complete period) the FFT result  $F_0(\omega)$  is the continuous spectral envelope with  $FBW = 1/X = 2/T$  about the carrier  $f_c$ . Thus, the spectrum of the infinite-duration rf signal  $F(\omega)$  is a sequence of impulses spaced at  $n\omega_0$  with envelope  $|F_0(\omega)|$  as in figure 2.

In practice, the modulation pulse shapes and carrier frequency are very stable, so that the waveform approximates the pulse train in equation (3) and modulates a single carrier frequency. The power spectrum has  $FBW = 2/T$  about the carrier and magnitude  $\frac{1}{2}D_{rf}$  with impulses at the rf PRF. Measurement of the modulation pulse waveform along with the rf modulation parameters is sufficient to completely characterize the transmitted signal. This measurement is often a digitized version of the pulse waveform along with the modulation parameters and peak transmitted power from which the spectrum can be obtained. In our example of a modulated rf signal, the required number of time samples is  $6.5 \times 10^5$ , and the analysis required several minutes for the results shown in figure 2. More typical is  $PRF \ll 10$  kHz, so that the required number of time samples rapidly increases to  $>10^6$ . Making such computations would not be a problem for modern computer resources, but we desire to analyze the

**Figure 2. Single pulse-modulated 1.3-GHz carrier FFT with 2 percent rf duty factor.**



spectrum for more complex modulations in near real-time. As the time window required to include one full period of the modulated signal increases, the number of time samples (with linear spacing) increases rapidly. Numerical solution on a PC becomes time consuming; therefore, an analytical approach is desirable to obtain the pulse-modulated spectrum for modulations that can be adequately represented by analytic functions.

## 2.2 Calculated Results

Fortunately, the modulations of interest have a frequency content that is significantly lower than the carrier frequency. That is, the modulation waveform  $p_X(t)$  has a low-frequency spectrum  $P_X(\omega)$ , where  $P_X(\omega) \sim 0$  for  $|\omega| < \omega_{\max}$  and  $\omega_{\max} \ll \omega_c$ . This implies that  $f(t)$  is an analytic function of infinite duration, but even when it is truncated we still find that  $\omega_{\max} \ll \omega_c$  with  $P_X(\omega_{\max}) \ll P_X(\omega_c)$ , and the spectrum is approximately band-limited. The positive frequency components of the modulated signal are

$$F_0(\omega^+) = \frac{P_X(\omega - \omega_c)}{2T_0} = \frac{\sin(X(\omega - \omega_c))}{T_0(\omega - \omega_c)} = A_c(\omega)e^{j\theta_c(\omega)}, \quad (11)$$

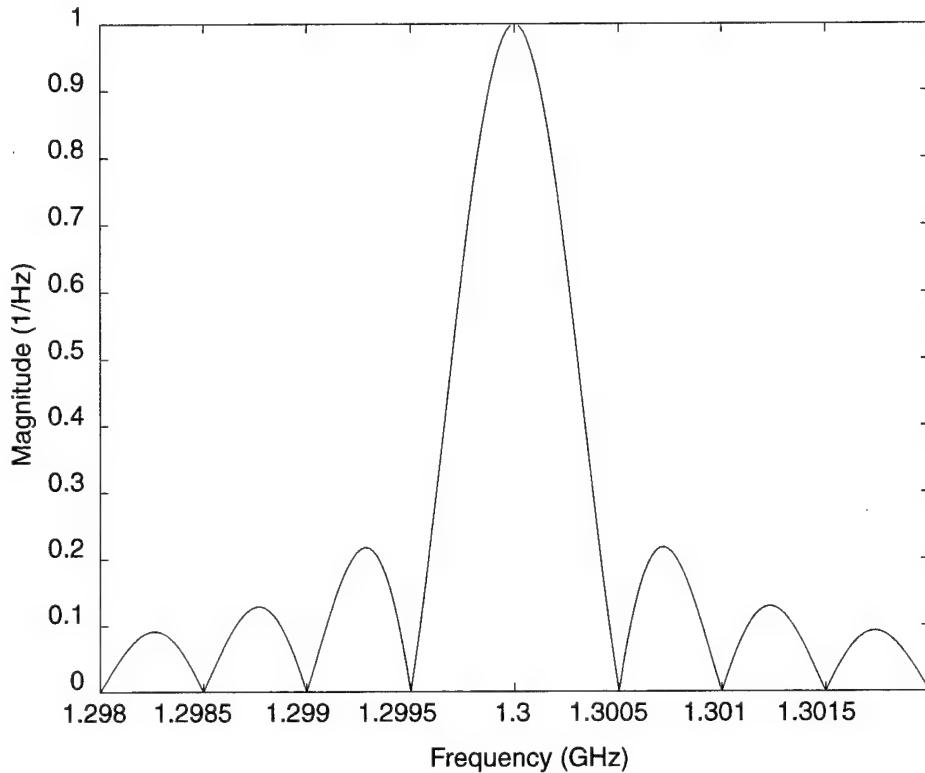
with peak magnitude  $\frac{1}{2}D_{rf}$ . So we can calculate  $\frac{1}{2}P_X(\omega)$  with the appropriate normalization, and shift the spectrum to be centered on  $f_c$  to approximate the positive frequency continuous spectrum  $F_0(\omega^+)$ .<sup>2</sup> Using the previous example modulation,  $\frac{1}{2}P_X(\omega)$  is shown in figure 3 without amplitude correction, so the peak magnitude is  $X = T/2$ . As can be seen from figure 2, the correct amplitude is obtained by normalization to the time-average or dividing by  $t_{\max} = T_0$ . That is, the analytical result is normalized to have the correct rf duty factor in one complete period of the modulation waveform to correspond to the FFT result. The complete spectrum  $F(\omega)$  would have impulses at the PRF, but the fundamental spectrum  $F_0(\omega)$  is sufficient to characterize the spectral magnitude and FBW of the modulated signal. A convolution routine could also be used to calculate  $F_0(\omega) = P_X(\omega) f C(\omega)/4\pi T_0$  from the modulation and carrier spectra. In this manner, more complex carrier behavior could be included, but a single carrier frequency is sufficient in our analysis.

Thus, the spectrum for the infinite-duration pulse-modulated rf signal can be readily approximated by FFT or direct calculation. For ideal rectangular pulse modulations, the transform is analytic but, in general, the modulation pulse is more complicated with only a digitized waveform representation. We have shown that for low-frequency modulations compared to a single carrier, the carrier frequency need not be resolved in the sampled transient. Then measurement of one period of the repetitive modulation waveform is sufficient to characterize the periodic signal. Then one can use numerical transform or analytical techniques to obtain the fundamental signal spectrum, and we must realize that this represents

---

<sup>2</sup>A. V. Oppenheim, Willsky, A. S., and Nawab, S. H., *Signals and Systems*, 2<sup>nd</sup> ed.

**Figure 3. Calculated spectrum of a pulsed rf signal at 1.3 GHz.**



the envelope of the impulses contained in the actual spectrum. Developing such tools is a first step in analyzing the spectrum as a function of the modulation parameters. Once obtained, the spectral content is useful in estimating the propagation, coupling, and scattering of modulated rf signals.

### 3. Additional Modulation

Now consider an additional rectangular pulse AM with pulselength  $T_1$  and AM PRF =  $1/T_2$ . The composite signal is then  $q(t) = g(t)f(t) = g(t)p_X(t)c(t)$ , where  $g(t) = p_X(t)$  is periodic with a period  $T_2 \sim kT_0$  for integer  $k$ , and  $Y = T_1/2$ . Since both  $g(t)$  and  $f(t)$  are even functions of time, so is  $q(t)$ , with fundamental periods  $T_0$  and  $T_2 \sim kT_0$ . The Fourier series coefficients for  $p_Y(t)$  are  $\beta_n = P_Y(n\omega_2)/T_2$ , where  $\omega_2 = 2\pi/T_2$ . The partial sum is

$$q_N(t) = \sum_{n=-N}^N \sum_{m=-M}^M \alpha_m \beta_{n-m} e^{jn\omega_2 t} = \sum_{n=-N}^N \gamma_n e^{jn\omega_2 t}, \quad (12)$$

where the sum over  $2M + 1$  terms is a convolution sum for the  $2N + 1$  coefficients  $\gamma_n$ . Then

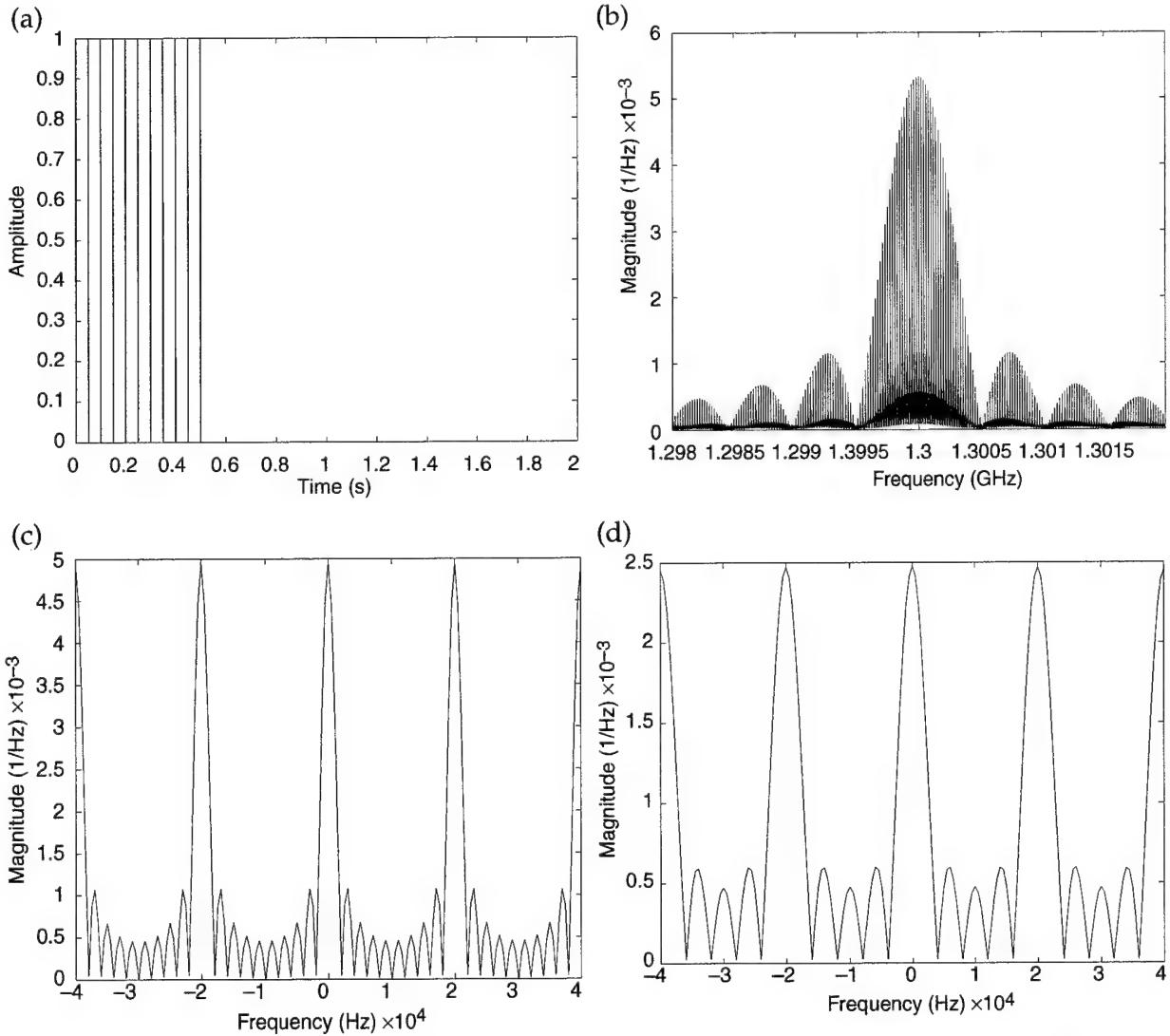
$$Q_0(n\omega_2) = \gamma_n T_2 = T_2 \sum_{m=-M}^{m=+M} \alpha_m \beta_{n-m}, \quad (13)$$

but this convolution is also numerically intensive. The convolution is equivalent to scaling the spectral amplitude in equation (11) because the additional modulation changes the limits of integration in equation (4) to correspond to the fundamental period of the repetitive modulation waveform. Thus the Fourier series coefficients for  $q(t)$  are weighted by the new duty factor and

$$Q_0(\omega) = \frac{T_1 A_c(\omega)}{T_2} e^{j\theta_c(\omega)} = D_{AM} F_0(\omega) \quad (14)$$

is the Fourier transform of the fundamental signal  $q_0(t)$ . The spectrum for the infinite-duration signal  $Q(\omega)$  is a sequence of impulses at  $1/T_2$  (and  $1/T_0$ ) with the envelope defined by equation (14). For idealized modulation waveforms, the spectrum  $P_X(\omega)$  can be obtained, normalized to the correct time-average, and scaled by one-half to account for the modulated carrier.

Consider a numerical approximation where the carrier frequency is not resolved and the FFT is used to obtain the spectrum of sampled transients. Here we use at least 21 samples in the smallest pulse and linear spacing of the transient data for one complete period of the modulation waveform. The rf carrier is 1.3 GHz,  $T = 2 \mu\text{s}$ , with rf PRF = 20 kHz, and we apply an additional modulation characterized by the AM duty factor  $D_{AM} = T_1/T_2$  and AM PRF =  $1/T_2$ . For example, let  $T_1 = 0.5 \text{ ms}$  and  $T_2 = 2 \text{ ms}$ , so that the AM repetition frequency is 500 Hz and  $D_{AM} = 0.25$ . The modulation waveform contains 10 pulses as shown in figure 4(a) with the FFT in figure 4(b). The FFT result has been scaled by one-half to account for the modulated carrier and frequency-shifted to correspond to  $|Q_0(\omega)|$  for the modulated rf signal. In figure 4(c), I show an 80-kHz frequency span with dominant impulses at the PRF = 20 kHz, since now the FFT time window includes repetitive pulses. The FBW of the spectral envelope depends on  $T$  (see fig. 4(b)) but the FBW of the impulses depends on  $T_1$ , as can be seen in figure 4(c). The impulses appear at the PRF = 20 kHz and at  $1/T_1 = 2 \text{ kHz}$  with FBW =  $2/T_1 = 4 \text{ kHz}$ . Reducing  $T_1$  (while



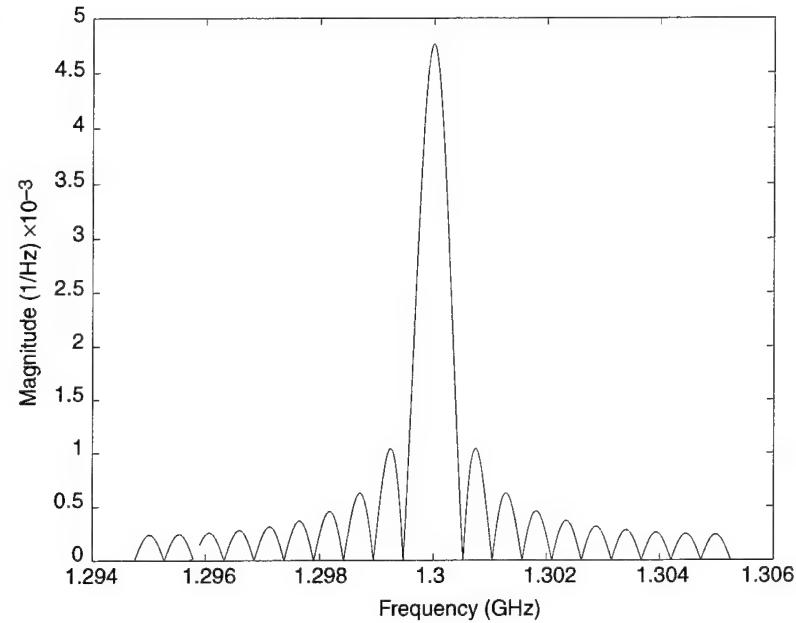
**Figure 4.** (a) Single period of a modulation waveform with 20 pulses, (b) frequency-shifted FFT (times one-half) of fundamental modulation, (c) FFT (times one-half) of fundamental modulation showing spectral line characteristics, and (d) FFT (times one-half) of fundamental modulation for  $T_1 = 0.25$  ms.

holding the other parameters fixed) results in fewer impulses with a larger FBW, as shown in figure 4(d), where now  $T_1 = 0.25$  ms and  $D_{AM} = 0.125$ . Conversely, increasing  $T_1$  results in more impulses with lower FBW and the spectral amplitude is proportional to  $D_{AM}$ . Although not shown, this is exactly what is observed on a spectrum analyzer.

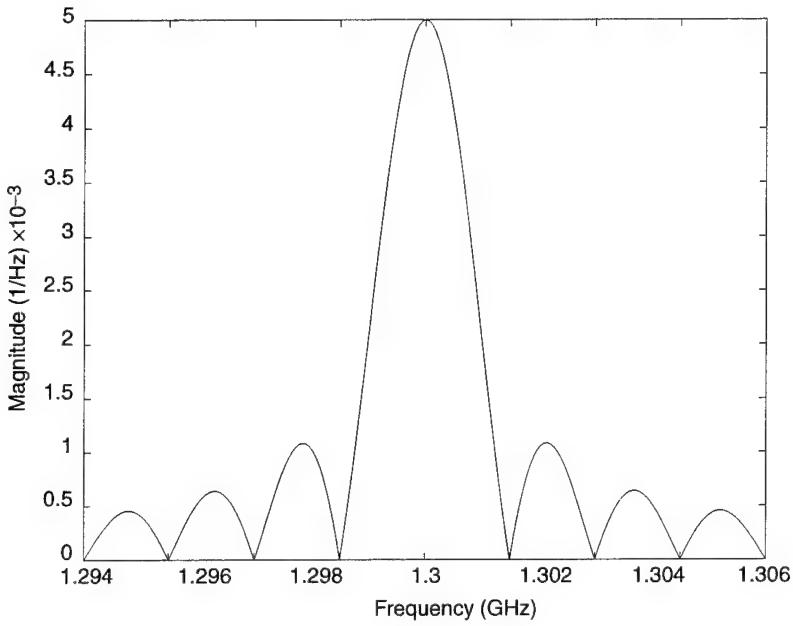
The same result can be obtained by the appropriate normalization of the single pulse modulation spectrum calculated analytically or by FFT of the modulation waveform. That is, we need only the pulse shape and the modulation duty factors to generate the pulse-modulated power spectrum. The frequency-shifted FFT for  $p_X(t)$  sampled in a 2-ms time window is presented in figure 5. The result is scaled for 10 pulses to have the correct time-average as in figure 4(a), and divided by 2 to account for the sinusoidal carrier for comparison to figure 4(b). The peak amplitude  $1/2D_{rf}D_{AM} = 0.005$  and the spectral envelope FBW =  $2/T$  are roughly the

same as in figure 4(b), with the difference owing to the finite rise- and fall-times of the rectangular pulse modulation. The fundamental spectrum is continuous, but since the modulation is periodic, the complete spectrum  $Q(\omega)$  would contain sharp impulses at the AM PRF ( $1/T_2 = 500$  Hz) and at the rf PRF ( $1/T_0 = 20$  kHz). Alternatively, we could calculate the spectrum of  $Q_0(\omega)$  with the appropriate normalization and frequency shift. The calculated spectral envelope  $P_X(\omega - \omega_c)T_1/(2T_0T_2)$  is shown in figure 6 and represents the average power, where now there is no reduction in amplitude due to nonideal rectangular pulses. The calculation of analytic functions can be more accurate than a numerical approach, but in both cases, care must be taken to avoid resolution errors due to poor sampling.

**Figure 5. Single-pulse FFT (times one-half) in 2-ms time window scaled for 10 pulses.**



**Figure 6. Calculated fundamental spectrum scaled by duty factor.**



## 4. Modulated rf Bursts

Since only a finite number of pulses are transmitted, the rf signal is actually a transient burst  $b(t)$  of a periodic waveform. For a whole number of modulation periods, the duration does not change the modulation duty factor since the signal is repetitive, but it is needed to calculate the average energy transmitted. We show this by windowing the periodic waveform with a single rectangular pulse  $p_Z(t)$  to truncate the pulse train at the maximum time  $t_{\max}$ ; so let  $Z = t_{\max}/2$ .<sup>1</sup> The spectrum  $P_Z(\omega)$  obtained by the FFT has unit magnitude, since the time-average for this window function is unity. The fundamental spectrum can be represented by a convolution with the normalized  $P_Z(\omega)$ ,  $B_0(\omega) = P_Z(\omega) \otimes Q_0(\omega)/2\pi$ , or

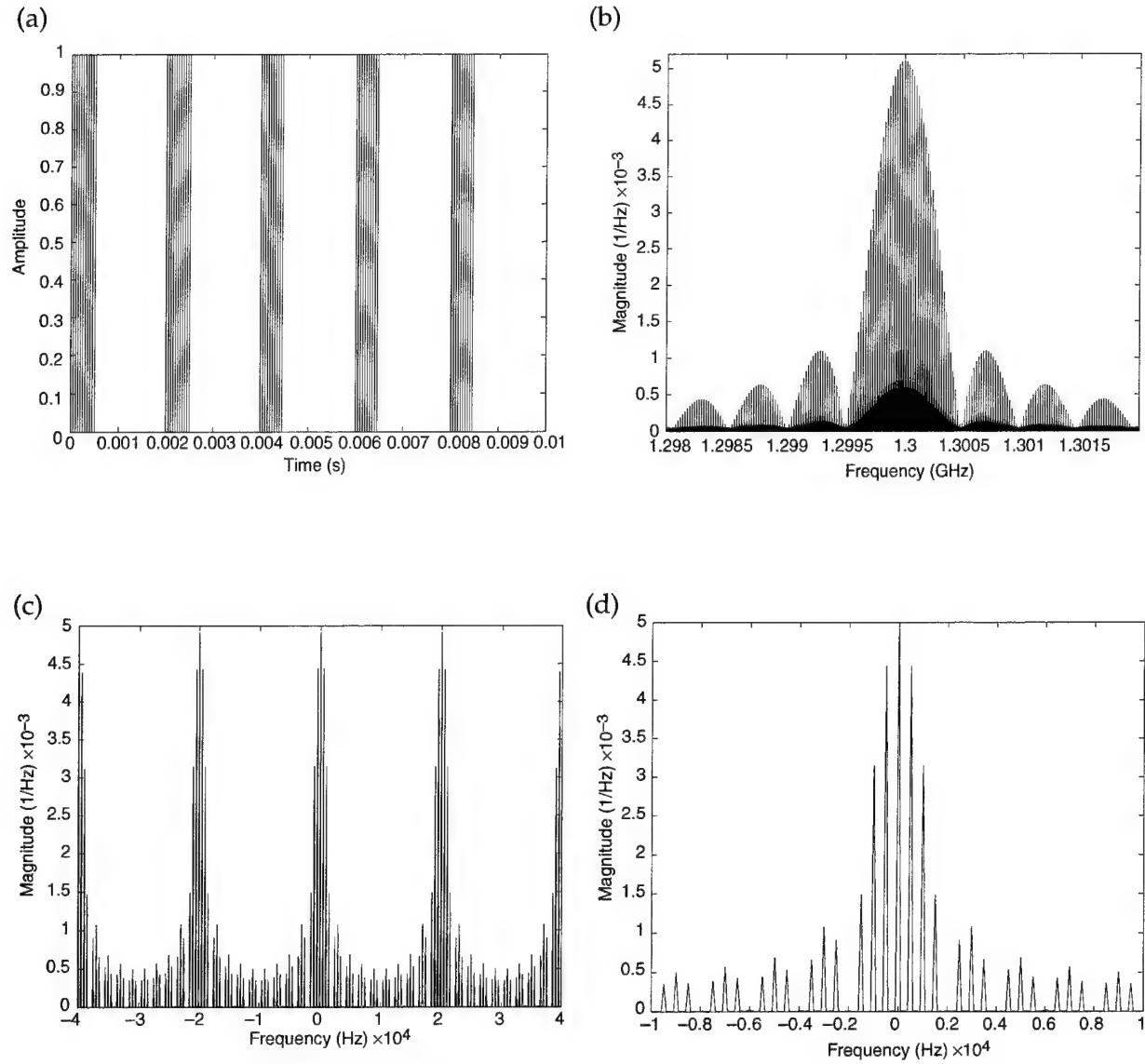
$$B_0(\omega) = \frac{\sin(\omega Z)}{4\pi\omega Z} \otimes Q_0(\omega) . \quad (15)$$

Thus, we could calculate the rf burst spectrum as a convolution of analytical or FFT results and shift the result to  $\omega_c$ , but for measured data, FFT techniques are often preferred for numerical efficiency. The modulation waveform is shown in figure 7(a), truncated to  $t_{\max} = 10$  ms, which results in 50 rf pulses (or 5 AM pulses) with unit peak power transmitted. The frequency-shifted FFT result (scaled by one-half) for this example is shown in figure 7(b), and this is the power spectrum measured on a spectrum analyzer. The FFT result does not depend on the duration when the modulation duty factors are not modified (i.e., a whole number of modulation periods transmitted). In figure 7(c), we show an 80-kHz frequency span for comparison to figure 4(c). The impulses in figure 4(c) represent another envelope of sharp impulses at the lowest PRF (500 Hz in this example) as shown in figure 7(d).

The FFT results for  $q_0(t)/2$  and  $p_z(t)$  are shown in figures 8(a) and 8(b), respectively. The spectral envelope depends primarily on  $T$  with fine features related to  $T_1$ , while the number of impulses depends on  $T_2$ . Truncation of the transient to an rf burst signal does not affect the FFT result or the power spectrum as measured on a spectrum analyzer. However, the total average energy transmitted is proportional to the duration (or dwell time) so that the average energy is obtained by scaling the power spectrum by  $t_{\max}$ . The frequency-shifted energy spectrum for a 50-pulse burst is shown in figure 9, where the amplitude is based on a peak transmitted power of 30 dBm (1 W). When only the spectral envelope  $B_0(\omega)$  is of interest, it can be calculated directly (with the appropriate normalization). The calculated energy is shown in figure 10 and represents the average energy spectral envelope. The energy spectrum is still a sequence of impulses at the modulation repetition frequencies as shown in figure 9, with magnitude defined by this envelope.

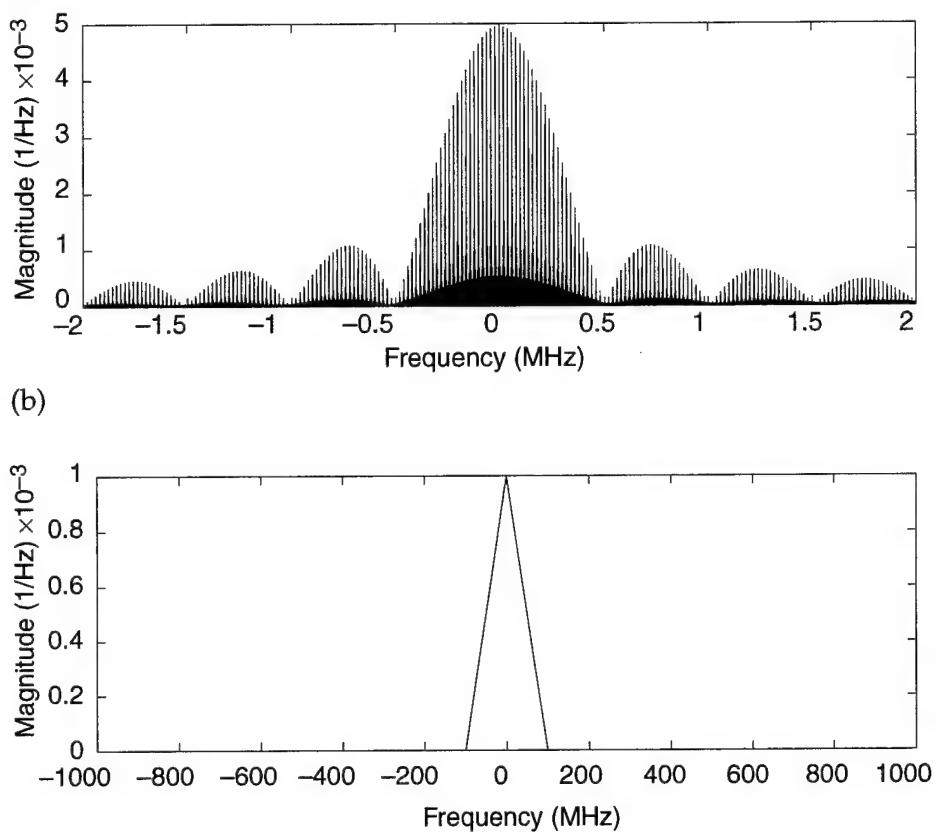
---

<sup>1</sup>A. Papoulis, *The Fourier Integral and Its Applications*.

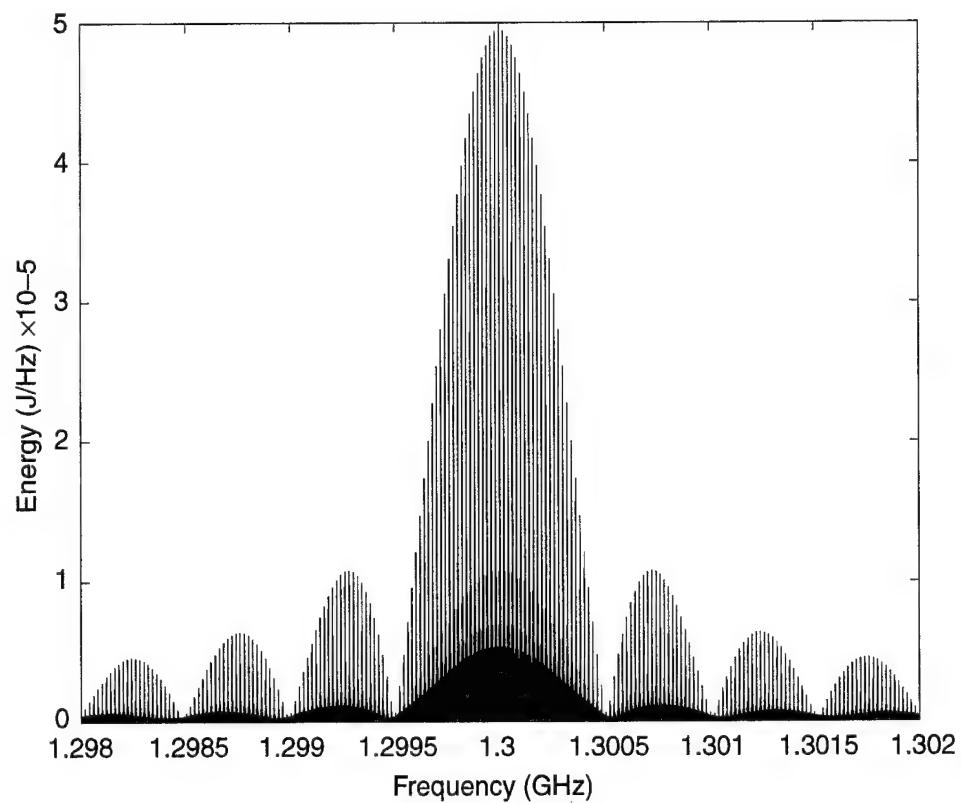


**Figure 7. (a) Modulation waveform for 50-pulse rf burst, (b) scaled FFT result shifted to modulated carrier, (c) FFT result (times one-half) over 80-kHz span for comparison to figure 4(c), and (d) FFT result (times one-half) over 20-kHz span to show spectral line characteristics.**

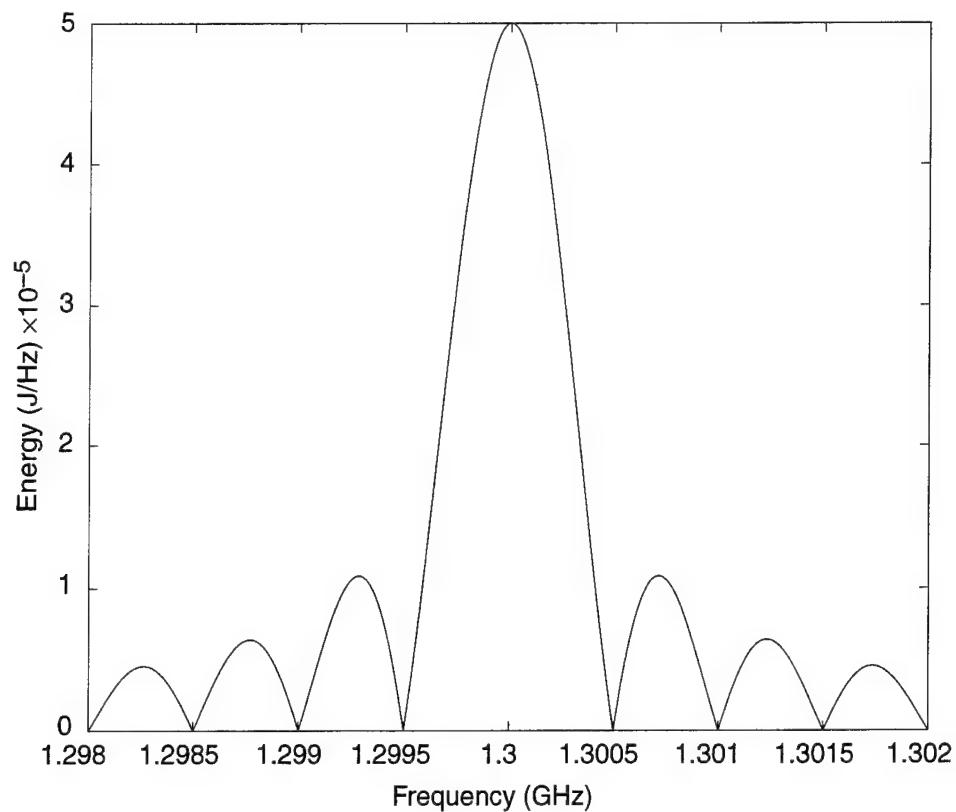
**Figure 8. (a) FFT result (a)**  
**(times one-half) for**  
**two periods of**  
**modulation waveform**  
**and (b) FFT result for**  
**truncation time**  
**window.**



**Figure 9. Energy**  
**spectrum by**  
**convolution of FFT**  
**results.**



**Figure 10. Calculated energy spectrum for 10-ms rf burst signal.**



## 5. Discussion

I have shown that the spectrum of pulse-modulated signals can be readily estimated when the modulation waveform has a low-frequency content compared to the carrier frequency. Given a single period of the modulation waveform, the fundamental spectrum can be obtained by FFT for digitized data or by direct calculation for idealized modulations. In either case, the result is the spectral envelope of the power spectrum that contains impulses at all the modulation repetition frequencies. These impulses can be calculated according to the Fourier series representation or obtained by FFT of several periods of the modulation waveform. The truncated or rf burst signal spectrum has the same average power spectrum with average energy proportional to the burst duration. The rf burst power spectrum is obtained by normalization to the modulation total duty factor, with the average energy obtained by scaling for the burst duration.

The modulation pulse for the rf carrier is typically a nonideal rectangular pulse with rise- and fall-times of up to 10 percent of the full width and an amplitude with variations of  $\pm 10$  percent. The AM modulation waveforms, which determine the signal total duty factor, have negligible rise- and fall-times, so they can be considered ideal pulses. Our approach then is to obtain the FFT for the digitized modulation pulse and convolve this power spectrum with the calculated spectrum for the AM waveform. This is equivalent to normalization by the AM duty factor so that only the rf pulse modulation waveform is required to calculate the signal power spectrum. The effect of a finite rise- and fall-time is reflected in the FFT results shown here since the zero-to-peak rise- and fall-times are  $dt \sim 10$  ns. The difference between the numerical and analytical results (compare fig. 5 and 6) is associated with the difference in the time-average owing to different pulse shapes. The error in the peak magnitude is negligible for rise- and fall-times of up to about 100 ns in this example.

To summarize, the rf modulating pulselwidth determines the FBW of the spectral envelope about the carrier frequency, with dominant impulses at the PRF. An additional AM introduces more impulses with a secondary envelope that depends on the AM pulselwidth ( $T_1$ ). The spectral magnitude is reduced according to the modulation duty factor  $D_{AM}$ , but the overall FBW is unchanged. The introduction of another AM reduces the peak magnitude by  $D_{AM}$  but increases the number of impulses in the spectrum. This is shown in table 1 for some typical rf and AM modulation parameters. For peak transmitted power  $P_0 = 30$  dBm, the spectral magnitude represents the average power  $P_{avg} = \frac{1}{2}D_{rf}D_{AM}P_0$ . For an rf burst signal, the average energy is determined from the duration  $E_{avg} = P_{avg}t_{max}$ .

Thus, if one desires a spectral envelope that has a narrow FBW, then a long pulse should be used for the rf modulation. A broader FBW is obtained for a shorter pulselwidth with a corresponding decrease in the spectral magnitude according to  $D_{rf}$ . The spectrum is a sequence of sharp impulses whose number and amplitude depend on the AM modulation.

**Table 1. Spectral characteristics of an rf burst of duration  $t_{\max}$  and 1-W peak transmitted power.**

$T$	rf modulation parameters ( $\mu$ s)			AM parameters (ms)		FWB (kHz)	Impulse frequency (kHz)	$P_{\text{avg}}$ (mW)	$E_{\text{avg}}$ (nJ)
	$T_0$	$t_{\max}$	$T_1$	$T_2$					
2	1000	500	—	—		1000	1	1	500
2	200	100	—	—		1000	5	5	500
2	50	25	—	—		1000	20	20	500
2	50	100	0.25	1		1000	1	5	500
2	50	100	0.5	2		1000	0.5	5	500
10	2000	200	—	—		200	0.5	2.5	500
10	2000	400	2	4		200	0.25	1.25	500
10	1000	200	2	4		200	0.25	2.5	500
10	200	80	0.5	2		200	0.5	6.25	500
30	2000	67	—	—		67	0.5	7.5	500
30	2000	133	2	4		67	0.25	3.75	500
—	—	200	0.05	10		40	0.1	2.5	500

However, the overall power spectrum envelope is determined by the rf modulation with peak magnitude given by the time-average of the rf signal. Given a fixed  $D_{\text{rf}}$ , the total average power with AM is reduced by  $D_{\text{AM}}$  unless  $P_0$  is increased to maintain the same time-average. Alternatively, for fixed transmitted power,  $D_{\text{rf}}$  must be increased to compensate for  $D_{\text{AM}}$  to obtain the same average power in the transmitted signal. In terms of average energy, the duration is a parameter, so different combinations of rf and AM modulations could have equivalent  $E_{\text{avg}}$  in the transmitted signal as shown in table 1. The rf pulselwidth and the lowest modulation repetition frequency are the important parameters for the spectral content of the transmitted signal, while the modulation duty factors control the spectral amplitude. The rf and AM parameters can be appropriately adjusted to obtain a desired power spectrum with the energy spectrum determined by the duration of the rf burst signal.

## Distribution

Admnstr Defns Techl Info Ctr Attn DTIC-OCP 8725 John J Kingman Rd Ste 0944 FT Belvoir VA 22060-6218	Director US Army Rsrch Ofc 4300 S Miami Blvd Research Triangle Park NC 27709
Ofc of the Secy of Defns Attn ODDRE (R&AT) The Pentagon Washington DC 20301-3080	US Army Simulation, Train, & Instrmntn Cmnd Attn J Stahl 12350 Research Parkway Orlando FL 32826-3726
OSD Attn OUSD(A&T)/ODDR&E(R) R J Trew Washington DC 20301-7100	US Army Tank-Automtv Cmnd Rsrch, Dev, & Engrg Ctr Attn AMSTA-TA J Chapin Warren MI 48397-5000
AMCOM MRDEC Attn AMSMI-RD W C McCorkle Redstone Arsenal AL 35898-5240	US Army Train & Doctrine Cmnd Battle Lab Integration & Techl Dirctr Attn ATCD-B J A Klevecz FT Monroe VA 23651-5850
Dir for MANPRINT Ofc of the Deputy Chief of Staff for Prsnl Attn J Hiller The Pentagon Rm 2C733 Washington DC 20301-0300	Nav Surface Warfare Ctr Attn Code B07 J Pennella 17320 Dahlgren Rd Bldg 1470 Rm 1101 Dahlgren VA 22448-5100
Pacific Northwest National Laboatory Attn K8-41 R Shippell PO Box 999 Richland WA 99352	DARPA Attn B Kaspar 3701 N Fairfax Dr Arlington VA 22203-1714
US Army ARDEC Attn AMSTA-CCL H Moore Bldg 65N Picatinny Arsenal NJ 07806-5000	Hicks & Associates Inc Attn G Singley III 1710 Goodrich Dr Ste 1300 McLean VA 22102
US Army Armament Rsrch Dev & Engrg Ctr Attn AMSTA-AR-TD M Fisette Bldg 1 Picatinny Arsenal NJ 07806-5000	US Army Rsrch Lab Attn AMSRL-CI-LL Techl Lib (3 copies) Attn AMSRL-CS-AS Mail & Records Mgmt Attn AMSRL-CS-EA-TP Techl Pub (3 copies) Attn AMSRL-SE-DE C Reiff Attn AMSRL-SE-DE J Miletta Attn AMSRL-SE-DS J Tuttle Attn AMSRL-SE-DS L Jasper Attn AMSRL-SE-DS W O Coburn (10 copies) Adelphi MD 20783-1197
US Army Edgewood RDEC Attn SCBRD-TD G Resnick Aberdeen Proving Ground MD 21010-5423	
US Army Info Sys Engrg Cmnd Attn ASQB-OTD F Jenia FT Huachuca AZ 85613-5300	
US Army Natick RDEC Acting Techl Dir Attn SSCNC-T P Bandler Natick MA 01760-5002	

## REPORT DOCUMENTATION PAGE

Form Approved  
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE September 1999	3. REPORT TYPE AND DATES COVERED Final, January to June 1999	
4. TITLE AND SUBTITLE Spectral Analysis of Pulse-Modulated rf Signals		5. FUNDING NUMBERS DA PR: A140 PE: 62120A	
6. AUTHOR(S) William O. Coburn		8. PERFORMING ORGANIZATION REPORT NUMBER ARL-TN-152	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) U.S. Army Research Laboratory Attn: AMSRL- SE-DS 2800 Powder Mill Road Adelphi, MD 20783-1197		9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) U.S. Army Research Laboratory 2800 Powder Mill Road Adelphi, MD 20783-1197	
11. SUPPLEMENTARY NOTES ARL PR: 9NEYYY AMS code: 622120.140		10. SPONSORING/MONITORING AGENCY REPORT NUMBER	
12a. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution unlimited.		12b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 words) The parameters that characterize a rectangular-shaped pulse-modulated sinusoidal signal are the carrier frequency, the pulselwidth, the repetition frequency, and the number of pulses in or the duration of the signal. We use a Fourier series representation to show the influence of these parameters on the spectrum of a pulse-modulated signal at a microwave carrier frequency. When an additional amplitude modulation is applied at audio frequencies, the resulting transient cannot be efficiently analyzed with numerical transform techniques. We present approximate numerical and analytical techniques to obtain the frequency spectrum of such signals. This approach allows the near-real-time spectral analysis of modulated signals. Thus, the resulting spectrum can be easily calculated for idealized modulation waveforms. A typical example is presented and the effect of pulse modulation on the spectral content of an rf signal burst is discussed.			
14. SUBJECT TERMS Pulse modulation, amplitude, power spectrum		15. NUMBER OF PAGES 24	
16. PRICE CODE			
17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified	20. LIMITATION OF ABSTRACT UL